

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No.: 10/712,634  
Applicant: Kazuhisa Yamamoto et al.  
Filed: November 13, 2003  
Title: OPTICAL DEVICE, LASER BEAM SOURCE, LASER APPARATUS AND  
METHOD OF PRODUCING OPTICAL DEVICE  
TC/A.U.: 2828  
Examiner: Dung T. Nguyen  
Confirmation No.: 2125  
Docket No.: YAO-3750US6

**SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a submitted herewith. A copy of each of the references listed on the PTO/SB/08a is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

5

The following references are not in the English language:

- 1) JP 06-011750
- 2) JP 06-194708
- 3) JP 05-249521
- 4) JP 05-034611
- 5) JP 58-044785
- 6) JP 07-099360
- 7) JP 06-045669
- 8) JP 05-232605
- 9) JP 06-258597
- 10) JP 06-308408

References 1) to 10) include English language abstracts.

References JP 06-273814 and JP 05-232536 were previously cited in a Supplemental Information Disclosure Statement dated November 13, 2003.

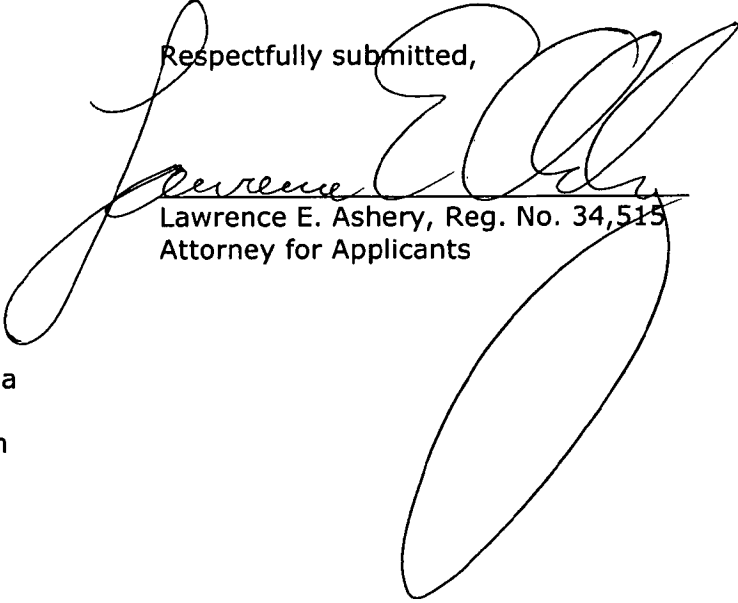
More than three months have elapsed since the filing of the above-referenced application and a first (non-Final) Official Action has been received. No Final Action or Notice of Allowance has yet been received and it is presumed that none has yet been mailed. Accordingly, as more specifically indicated below, a statement as required by 37 C.F.R. § 1.97(c) is provided herewith.

STATEMENT UNDER 37 C.F.R. § 1.97(e)

The undersigned hereby states that each item of information disclosed in the Information Disclosure Statement was first cited in any communication from a

foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

  
Lawrence E. Ashery, Reg. No. 34,515  
Attorney for Applicants

LEA/ds

Enclosures: PTO Form SB/08a  
(10) References  
Transmittal Form

Dated: August 7, 2006

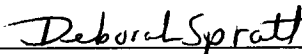
P.O. Box 980  
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(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

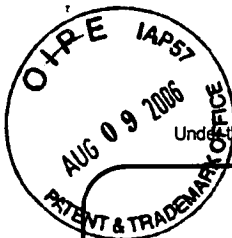
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August 7, 2006

Deborah Spratt



ds/49769



PTO/SB/21 (09-04) (AW 10/2004)

Approved for use through 7/31/2006. OMB 0651-0031

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 5 + 10 References

Application Number	10/712,634
Filing Date	November 13, 2003
First Named Inventor	Kazuhisa Yamamoto et al.
Art Unit	2828
Examiner Name	Dung T. Nguyen
Attorney Docket No.	YAO-3750US6

**ENCLOSURES (Check all that apply)**

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment/Reply
  - ☐ After Final
  - ☐ Affidavits/Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement (Supplemental)
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation, Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_
  - ☐ Landscape Table on CD

- ☐ After Allowance Communication to TC
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):  
  
PTO Form SB/08  
10 References  
Return Postcard

**Remarks:****SIGNATURE OF APPLICANT, ATTORNEY OR AGENT**

Firm Name	BathnerPrestia		
Signature			
Printed Name	Lawrence E. Ashery		
Date	August 7, 2006	Registration No.	34,515

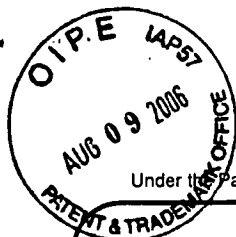
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Typed or Printed Name	Deborah Spratt	Date	August 7, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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PTO/SB/08a (07-05) (TMB 07/2005)

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 1

### Complete if Known

Application Number	10/712,634
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First Named Inventor	Kazuhisa Yamamoto et al.
Art Unit	2828
Examiner Name	Dung T. Nguyen
Attorney Docket No.	YAO-3750US6

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-			
		US-			
		US-			
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### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		JP 06-011750 (w/English Abstract)	01/21/1994	PHILIPS ELECTRON NV		<input type="checkbox"/>
		JP 06-194708 (w/English Abstract)	07/15/1994	OKI ELECTRIC IND DO LTD		<input type="checkbox"/>
		JP 05-249521 (w/English Abstract)	09/28/1993	HITACHI LTD		<input type="checkbox"/>
		JP 05-034611 (w/English Abstract)	02/12/1993	FUJI PHOTO FILM CO LTD		<input type="checkbox"/>
		JP 58-044785 (w/English Abstract)	03/15/1983	KOKUSAI DENSHIN DENWA CO LTD		<input type="checkbox"/>
		JP 07-099360 (w/English Abstract)	04/11/1995	MATSUSHITA ELECTRIC IND CO LTD		<input type="checkbox"/>
		JP 06-045669 (w/English Abstract)	02/18/1994	NEC CORP		<input type="checkbox"/>
		JP 05-232605 (w/English Abstract)	09/10/1993	FUJI PHOTO FILM CO LTD		<input type="checkbox"/>
		JP 06-258597 (w/English Abstract)	09/16/1994	SHARP CORP		<input type="checkbox"/>
		JP 06-308408 (w/English Abstract)	11/04/1994	OLYMPUS OPTICAL CO LTD		<input type="checkbox"/>

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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